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BusinessWeek | online

MARCH 11, 2002

THE BARKER PORTFOLIO

Tech Stocks: Follow the Patents?

That's what one research boutique is doing—and its work suggests that investors should pay close attention to companies' patent activity

Hungry for technology stocks? If that question leaves you feeling as if you just swallowed seafood of dubious vintage, I don't blame you. By any measure, the past two years have been enough to make even the stoutest investor shudder at tech. Yet abandoning technology companies, which account for one in five U.S. stocks, would be an obvious, if understandable, mistake.

That's why I was all ears when I heard recently about a novel approach to picking techs. It has nothing to do with counting eyeballs, checking book-to-bill ratios, or even estimating earnings per share. Instead, it's all about patents--first, trying to evaluate the potential of a company's patent portfolio, and then relating that measurement to the company's stock price. Early evidence of patents' role in picking winners is promising (chart).

Yes, many investors have long hazarded guesses about how key patents might pay off. But CHI Research, a consulting boutique in Haddon Heights, N.J., is pioneering a strictly quantitative method. CHI got started in 1968 reviewing patents for the National Science Foundation. It later nabbed corporate clients and, in 2000, branched out to Wall Street with a pricey stock-evaluation service it calls Investor Tech-Line. CHI each month examines nearly 400 companies with strong patent activity, ranking them on investment potential.

Far more important than just the number of patents a company holds, or even how many it is adding, are a battery of more subtle indicators. At the core of CHI's system is something it calls "citation impact." This is a measure of how often a patent is cited by later patent applications and, by extension, its importance. At the same time, CHI checks how many references a patent makes to scientific papers, as opposed to earlier patents. Its hunch is that patents flowing out of academic work are closer to basic science and likely more valuable. The firm also measures what it calls "technology cycle time," or the median age of patents cited in an application. Citing more recent patents can indicate a company is dealing in fresher technologies, with the possibility of greater future profit.

Tests of these theories, first reported in a 1999 paper published in the *Financial Analysts Journal* and later by CHI itself, are intriguing. CHI's back-test over the 1990-99 period found its picks outperformed the Nasdaq Composite Index in 7 of 10 years and the Standard & Poor's 500-stock index in 8 of 10.

Investor Tech-Line, for which CHI last year received its own patent (you can view it at www.chiresearch.com), is slowly drawing the attention of institutional investors. Christopher Rowane, manager of the \$60 million Huntington Mid Corp America Fund, attributes much of the fund's outperformance since its start a year ago—a 4.5% gain, vs. a 2.6% loss for its average midcap rival--to relying on patent research for a first cut through the clutter of stocks.

So, which stocks does CHI like? Some surprises, notably Ciena (table). The maker of optical-network gear topped CHI's yearend rankings, when it was \$14.31 a share, and again on Feb. 1, at \$12.70. Ciena ([CIEN](#)) has since announced a

merger with ONI Systems ([ONIS](#)) and revealed a bleak outlook for the current quarter, sending the shares below \$8. Other CHI picks include well-known giants (AOL Time Warner) and tiny unknowns such as Isco ([ISKQ](#)), a maker of flow meters. CHI suggests Isco's miniature, \$54 million market value belies the close link of its patents to the science of "supercritical fluids"--part-liquid, part-gas substances created under very high pressure--that are used increasingly in the chemical and food industries.

An annual subscription to CHI's monthly reports carries a \$15,000 price tag. But its way of thinking about patents, future profits, and tech stocks is free--a new tool to add to your kit.

By [Robert Barker](#)

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MARCH 8, 2004

THE BARKER PORTFOLIO

A Search Engine For Tech Prospectors

It seems so far away. In March, 2002, tech investors were deep in a second straight winter of distress. The Nasdaq had been cut by two-thirds in just two years. Tech was positively cryogenic -- and an irresistible draw for anyone hungry for companies with fresh technology. But which companies?

A consulting firm called CHI Research makes finding those companies its business. Two years back, when I asked CHI to share its top 10 tech-stock picks with *BusinessWeek* readers, half the names on the list were new to me. Yet CHI was on to something. Since then, its picks have returned an average of 59.2%, while the Nasdaq 100 and Standard & Poor's 500 indexes returned 4.2% and 6.1%, respectively. Each year since 2000, when CHI began issuing monthly buy recommendations to institutional investors (subscription price: \$15,000 a year), it has killed the market averages. In 2003, as the average tech-stock mutual fund returned 55.9%, CHI's picks returned 162%.

HOW DO THEY DO IT? CHI uses a strictly quantitative method based on evaluating the strength of public companies' patent portfolios. Based in Haddon Heights, N.J., the firm got its start in 1968 reviewing patents for the National Science Foundation. It still consults for corporate clients, but its investment-research method, on which it has its own patent, is gaining renown. Here's how it works: Every month, CHI looks over the patents of 477 innovators, from mighty General Electric (GE) to the likes of genomics outfit Lynx Therapeutics (LYNX). It checks not just how many patents each company holds but what it refers to as "citation impact" -- how often they are cited in later patent applications. CHI also checks how many references a patent makes to academic papers, a way to see how closely to basic science the company is working. And it notes how quickly the companies exploit scientific advances by checking the median age of patents cited in their patent applications. Finally, CHI considers the companies' stock-price-to-book-value ratios.

Naturally, CHI's research isn't foolproof. Of the 10 picks listed in *BusinessWeek* in 2002, three had negative returns, including CHI's then-favorite, optical networker Ciena (CIEN). Now, its favorites (table) include several biotech names, such as Maxygen (MAXY), Transgenomic (TBIO), and Caliper Life Sciences (CALP), a repeat from 2002. Patrick Thomas, a CHI senior analyst, said basic materials companies lately are climbing the ranks. One is Arch Chemicals (ARJ), which Olin (OLN) spun off in 1999. CHI is keen on its patents for polishing copper-coated wafers and on the relatively low age of its patents -- indicating fast innovation.

Cabot, (CBT) another name on the list that many investors may not associate with high tech, is a longtime producer of carbon black, a key material used by tiremakers. But Cabot also sells specialty powders for ink jets, fuel cells, and flat-panel displays. And it knows how to cash in on innovation. In 2000 it spun off Cabot Microelectronics (CCMP), a supplier of polishing slurries and pads for microelectronics. Before the spin-off, Cabot had a market value of \$2.2 billion. Within a year, the parent and offspring's total value topped \$5 billion.

Expecting Cabot to hit another such lode is unreasonable, just as it's unlikely the NASDAQ will have another year like 2003 soon. Still, CHI's list strikes me as a good place for tech investors to keep prospecting.

By Robert Barker

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1. Document ID: US 20020084901 A1

L4: Entry 1 of 6

File: PGPB

Jul 4, 2002

PGPUB-DOCUMENT-NUMBER: 20020084901
PGPUB-FILING-TYPE: new
DOCUMENT-IDENTIFIER: US 20020084901 A1

TITLE: System and method for smart clothing and wearable electronic devices

PUBLICATION-DATE: July 4, 2002

INVENTOR - INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Mantyjarvi, Jani	Espoo		FI	
Hoisko, Jyrki	Oulu		FI	
Kaario, Juha	Tampere		FI	
Kimmel, Jyrki	Tampere		FI	

US-CL-CURRENT: 340/573.1; 340/825.36

2. Document ID: US 6466232 B1

L4: Entry 2 of 6

File: USPT

Oct 15, 2002

US-PAT-NO: 6466232

DOCUMENT-IDENTIFIER: US 6466232 B1

TITLE: Method and system for controlling presentation of information to a user based on the user's condition

DATE-ISSUED: October 15, 2002

INVENTOR - INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Newell; Dan	Seattle	WA		
Abbott, III; Kenneth H.	Kirkland	WA		

US-CL-CURRENT: 345/700; 345/3.1, 345/751, 345/8, 345/866

3. Document ID: US 6305221 B1

L4: Entry 3 of 6

File: USPT

Oct 23, 2001

US-PAT-NO: 6305221

DOCUMENT-IDENTIFIER: US 6305221 B1

TITLE: Rotational sensor system

DATE-ISSUED: October 23, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Hutchings; Lawrence J.	Castro Valley	CA		

US-CL-CURRENT: 73/488; 73/510

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KIMC
Drawn Desc Image											

 4. Document ID: US 6122960 A

L4: Entry 4 of 6

File: USPT

Sep 26, 2000

US-PAT-NO: 6122960

DOCUMENT-IDENTIFIER: US 6122960 A

TITLE: System and method for measuring movement of objects

DATE-ISSUED: September 26, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Hutchings; Lawrence J.	Castro Valley	CA		
Gross; Richard	San Francisco	CA		
Jarpe; Stephen	Prescott Valley	AZ		

US-CL-CURRENT: 73/493; 73/510, 73/865.4

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KIMC
Drawn Desc Image											

 5. Document ID: US 5899963 A

L4: Entry 5 of 6

File: USPT

May 4, 1999

US-PAT-NO: 5899963

DOCUMENT-IDENTIFIER: US 5899963 A

TITLE: System and method for measuring movement of objects

DATE-ISSUED: May 4, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Hutchings; Lawrence J.	Castro Valley	CA		

US-CL-CURRENT: 702/145; 700/14, 702/141, 702/142, 702/146, 702/149

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KOMC
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 6. Document ID: US 20020084901 A1

L4: Entry 6 of 6

File: DWPI

Jul 4, 2002

DERWENT-ACC-NO: 2002-635608

DERWENT-WEEK: 200268

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TITLE: Wearable electronic device and smart clothing activation control method involves integrating electronic circuits into zipper or hook on clothing based on whose position electronic device is controlled

Basic Abstract Text (9):

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the wearable device and the smart clothing activation control system.

Standard Title Terms (1):

WEAR ELECTRONIC DEVICE SMART CLOTHING ACTIVATE CONTROL METHOD INTEGRATE ELECTRONIC CIRCUIT HOOK CLOTHING BASED POSITION ELECTRONIC DEVICE CONTROL

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KOMC
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Term	Documents
SMART.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	32470
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CLOTHING.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	69851
CLOTHINGS.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	977
(SMART ADJ CLOTHING).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	6
(SMART CLOTHING).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	6

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1. Document ID: US 6341372 B1

L10: Entry 1 of 9

File: USPT

Jan 22, 2002

US-PAT-NO: 6341372

DOCUMENT-IDENTIFIER: US 6341372 B1

TITLE: Universal machine translator of arbitrary languages

DATE-ISSUED: January 22, 2002

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Datig; William E.	Centerport	NY	11721	

US-CL-CURRENT: 717/136; 715/523

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KIMC
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2. Document ID: US 6233545 B1

L10: Entry 2 of 9

File: USPT

May 15, 2001

US-PAT-NO: 6233545

DOCUMENT-IDENTIFIER: US 6233545 B1

TITLE: Universal machine translator of arbitrary languages utilizing epistemic moments

DATE-ISSUED: May 15, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Datig; William E.	Centerport	NY	11721	

US-CL-CURRENT: 704/2; 704/9, 706/62

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KIMC
Draw Desc	Image									

3. Document ID: US 5722418 A

L10: Entry 3 of 9

File: USPT

Mar 3, 1998

US-PAT-NO: 5722418

DOCUMENT-IDENTIFIER: US 5722418 A

TITLE: Method for mediating social and behavioral processes in medicine and business through an interactive telecommunications guidance system

DATE-ISSUED: March 3, 1998

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bro; L. William	Los Angeles	CA	90045	

US-CL-CURRENT: 600/545; 128/905, 128/920, 434/118, 482/9, 600/300, 600/544

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KIMC
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4. Document ID: US 5629855 A

L10: Entry 4 of 9

File: USPT

May 13, 1997

US-PAT-NO: 5629855

DOCUMENT-IDENTIFIER: US 5629855 A

TITLE: System and method for using parabolic models to improve position estimates from a global positioning system

DATE-ISSUED: May 13, 1997

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kyrtssos; Christos T.	Peoria	IL		
Sennott; James W.	Bloomington	IL		
Gudat; Adam J.	Edelstein	IL		
Christensen; Dana A.	Peoria	IL		
Friedrich; Douglas W.	Pekin	IL		
Stafford; Darrell E.	Dunlap	IL		

US-CL-CURRENT: 701/300; 340/988, 342/357.02, 342/450, 701/120, 701/215

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KIMC
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5. Document ID: US 5555503 A

L10: Entry 5 of 9

File: USPT

Sep 10, 1996

US-PAT-NO: 5555503

DOCUMENT-IDENTIFIER: US 5555503 A

TITLE: System and method for providing accurate vehicle positioning using spatial bias techniques

DATE-ISSUED: September 10, 1996

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kyrtssos; Christos T.	Peoria	IL		
Gudat; Adam J.	Edelstein	IL		
Christensen; Dana A.	Peoria	IL		
Friedrich; Douglas W.	Pekin	IL		
Stafford; Darrell E.	Dunlap	IL		

US-CL-CURRENT: 701/207; 342/357.08, 342/457, 701/300

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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 6. Document ID: US 5438517 A

L10: Entry 6 of 9

File: USPT

Aug 1, 1995

US-PAT-NO: 5438517

DOCUMENT-IDENTIFIER: US 5438517 A

TITLE: Vehicle position determination system and method

DATE-ISSUED: August 1, 1995

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Sennott; James W.	Bloomington	IL		
Kyrtssos; Christos T.	Peoria	IL		
Gudat; Adam J.	Edelstein	IL		
Christensen; Dana A.	Peoria	IL		
Friedrich; Douglas W.	Pekin	IL		
Stafford; Darrell E.	Dunlap	IL		

US-CL-CURRENT: 701/213; 342/357.03, 342/457, 701/214, 701/226

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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 7. Document ID: US 5390125 A

L10: Entry 7 of 9

File: USPT

Feb 14, 1995

US-PAT-NO: 5390125

DOCUMENT-IDENTIFIER: US 5390125 A

TITLE: Vehicle position determination system and method

DATE-ISSUED: February 14, 1995

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Sennott; James W.	Bloomington	IL		
Kyrtsov; Christos T.	Peoria	IL		
Gudat; Adam J.	Edelstein	IL		
Christensen; Dana A.	Peoria	IL		
Friedrich; Douglas W.	Pekin	IL		
Stafford; Darrell E.	Dunlap	IL		

US-CL-CURRENT: 701/214; 342/357.02, 342/457, 701/226

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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8. Document ID: US 5375059 A

L10: Entry 8 of 9

File: USPT

Dec 20, 1994

US-PAT-NO: 5375059

DOCUMENT-IDENTIFIER: US 5375059 A

TITLE: Vehicle position determination system and method

DATE-ISSUED: December 20, 1994

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Kyrtsov; Christos T.	Peoria	IL		
Gudat; Adam J.	Edelstein	IL		
Christensen; Dana A.	Peoria	IL		
Friedrich; Douglas W.	Pekin	IL		
Stafford; Darrell E.	Dunlap	IL		

US-CL-CURRENT: 701/215; 342/357.03, 342/457, 701/226

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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9. Document ID: US 4907170 A

L10: Entry 9 of 9

File: USPT

Mar 6, 1990

US-PAT-NO: 4907170

DOCUMENT-IDENTIFIER: US 4907170 A

TITLE: Inference machine using adaptive polynomial networks

DATE-ISSUED: March 6, 1990

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Bhattacharya; Bishnu P.	Claremont	CA		

US-CL-CURRENT: 706/14; 700/29, 700/82, 706/23, 706/31, 706/44

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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Term	Documents
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(9 AND ROBOTICS).USPT.	9
(L9 AND ROBOTICS).USPT.	9

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1 "Smart clothing": wearable multimedia computing 82%

and "personal imaging" to restore the technological balance between people and their environments
Steve Mann

Proceedings of the fourth ACM international conference on Multimedia
February 1997

2 Networked surfaces: a new concept in mobile networking 77%

James Scott , Frank Hoffmann , Mike Addlesee , Glenford Mapp , Andy Hopper

Mobile Networks and Applications October 2002
Volume 7 Issue 5

Networked Surfaces are surfaces which provide networking to specially augmented objects when these objects are physically placed on top of the surface. When an object (e.g., a notebook computer) connects, a handshaking protocol assigns functions such as data or power transmission to the various conducting paths that are established. This paper describes the position occupied by this concept in the world of networking, presents an overview of the technology used in its realisation, describes the ...

3 Tangible bits: towards seamless interfaces between people, bits 77%

and atoms

Hiroshi Ishii , Brygg Ullmer

conference proceedings on Human factors in computing systems
March 1997

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'Smart Clothing': Wearable Multimedia Computing and 'Personal Imaging' to Restore the Technological Balance Between People and Their Environments

Steve Mann

steve@media.mit.edu

MIT Media Lab, Building E15-389, 20 Ames Street, Cambridge, MA02139
<http://wearcam.org/>

ABSTRACT

Current portable computers and PDAs fail to truly become part of our daily lives in the sense that we need to stop what we are doing and expend conscious effort to use them. They also do not have the situational awareness that they should have: while they are not being explicitly used, they are unable to remain attentive to possible ways to help the user.

Environmental technology in the form of ubiquitous computing, ubiquitous surveillance, and smart spaces, has attempted to bring multimedia computing seamlessly into our daily lives, promising a future world with cameras and microphones everywhere, connected to invisible computing, always attentive to our every movement or conversation. This raises some serious privacy issues. Even if we ignore these issues, there is still a problem of user-control, customization, and reliance on an infrastructure that will not (and probably should not) become totally ubiquitous.

In response to these problems, a personal, wearable, multimedia computer, with head-mounted camera(s)/display, sensors, etc. is proposed for use in day-to-day living within the surrounding social fabric of the individual. Examples of practical uses include: face identification (memory aid for names), way-finding via sequences of freeze-frames, shared visual memory/environment maps, and other personal note-taking together with visual images.

Anecdotal personal experiences, over several years of use, are reported, and privacy issues are addressed, in particular, with a discussion of how personal 'smart clothing' has counteracted or at least reached a healthy balance with environmental surveillance.

KEYWORDS: augmented reality, mediated reality, ubiquitous computing, smart spaces, video surveillance, mobile multimedia, wearable computing, personal imaging, video orbits, pencigraphic image compositing.

*Research supported, in part, by HP labs, Palo Alto
Words or phrases in single quotes are those introduced by author here or elsewhere in the literature.

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ACM Multimedia 96, Boston MA USA
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PROBLEM STATEMENT

The advent of the *personal computer* brought computers closer to us — from distant computer rooms of the mainframe era right to our desks. However, computing still remains accessible only when we're sitting at our desks, and is thus not really part of our personal day-to-day living.

Later, portable computing made it possible to carry this personal environment in a briefcase or pocket. However, laptop computers and PDAs fail to become part of our daily lives in the sense that we need to stop what we are doing to use them. They are far from providing a seamless interaction in the context of day-to-day living.

Other devices that we often carry, such as cellular telephones, pagers, wristwatches, personal sound systems, tape recorders, camcorders, and perhaps pocket calculators or measurement instruments such as pocket multimeters duplicate much of the same functionality many times over, and don't communicate with each other. These items are far more encumbering than would be a single item that performed all of the tasks that each was meant to do.

Other developments, such as ubiquitous computing [1] have attempted to bring computing seamlessly into our daily lives. Ubiquitous multimedia computing and smart spaces [2][3][4] would seem to suggest a future world in which we're surrounded with computing, as well as cameras, microphones, and other forms of perceptual intelligence during all facets of our daily lives.

There are two problems with smart spaces: (1) Not all environments will ever be so equipped. Even if most spaces were equipped with cameras and microphones, they may not serve our needs or be of direct benefit to us, as those who would install the infrastructure could not possibly predict our needs and exact preferences. Even if we desired that they know everything that could be known about us (and provided as much information as possible to them), it is still doubtful that their systems would totally eliminate the need for *personal technology*. (2) There is no guarantee that the organizations behind the infrastructure would not put their needs before ours. In particular, the prospect of ubiquitous surveillance would no doubt be attractive to many organizations, and the temptations to use it for other purposes (besides helping the users of the space) suggests that we might not want a world in which our every movement and conversation is being monitored by an external entity.

Moreover, there are numerous well-documented examples in which organizations have abused their capability to covertly monitor their members. For example, it was recently reported that Dunkin Donuts was monitoring and recording conversations within their establishments, using hidden microphones, in addition to their surveillance cameras, and the use of hidden cameras in department store change rooms and employee locker rooms has also been documented[5][6].

Environmental perceptual intelligence is not new. Smart floors, electric eyes, motion sensors, smart lights, etc., have been used for a number of years. Some examples of smart spaces appear in Fig 1. However, as perceptual intelligence becomes networked in a multimedia environment, there will be more cameras installed and a wider variety of officially stated reasons for their existence. As cameras are installed for various purposes, people should be aware of the (very likely) possibility that they will have a plurality of purposes. Indeed, many video conferencing systems and multimedia computers have means to cover up cameras when they are not in use. This is because many people feel uncomfortable with an exposed camera lens pointed at them, even if the camera is turned off. People are justified in this feeling; multimedia/visual intelligence systems should be designed with physical lens covers and not just on/off switches (in good designs the on/off switch and lens cover are combined so that sliding the cover over the lens automatically shuts off the power). The problem statement, in summary, is that neither laptop computers and PDAs, nor ubiquitous multimedia computing/surveillance offer the kind of truly personal environment that would best suit our needs.

PROPOSED SOLUTION: 'SMART CLOTHING'

'Smart clothing', the combination of wearable multimedia computing, personal imaging (through the use of one or more wearable video cameras), and wireless communications, into a rig that is comfortably worn in an active "always ready" mode, not just carried in a briefcase or the like — is proposed as an alternative to being forced to make a choice between portable multimedia computers/PDAs, and environmental technologies such as ubiquitous computing/surveillance.

'Smart clothing' is a step toward truly personal computing and enhanced situational awareness, with less (or possibly no) reliance on a centralized infrastructure.

The first 'smart clothing' prototype, designed and built by the author (Fig 2), comprised a modular personal, wearable, multimedia computer system together with one or more head-mounted cameras, a head-mounted display, and other sensors (one or more microphones, biosensors, two wearable radar systems, etc.), connected wirelessly to the Internet. The modular nature of the system allows portions to be left out or included, depending on the occasion.

Currently, 'smart clothing' provides the following functionalities:

- Time/date/calendar/reminder: replaces wristwatch but with additional functionality such as meaningful alarm messages and online networked scheduling.
- voice communications: replaces cellular phone or the like.
- messaging: replaces pocket pager, but with much more informative messaging (e.g. email).



Figure 2: Wearable computer systems designed and built by author for experiments in personal imaging: Early (1980) apparatus was somewhat cumbersome. Before the advent of the TNCs in 1981, communications was handled with two separate radios at each end. The bulky 1.5 inch CRT required a bicycle helmet for support, and could only display 40 characters per row of text. Later, a waist-mounted television was found to be less cumbersome, but failed to provide the constancy of user-interface. With the advent of miniature CRTs in the late 1980s, a comfortable eyeglass-based system became practical (1990), which was recently transferred to a more modern (early nineties) visor (VVSport TV, replacing the LCD with a CRT). A single hat-mounted antenna provided communications in the ham bands. More recently (1995) with the advent of cellular communications, a base-level of connectivity (at reduced speed) remains in effect when the unit is too far from its home base to use the high speed ham radio unit. Furthermore, in applications where the high speed ham radio link is not necessary, users of this technology will not need to pass a radio exam or obtain a special radio license as the commercially available cellular technology may be used.

- sound capability: "Sound Blaster" compatible device replaces personal sound system.
- sound capture: replaces dictating machine or the like.
- video capture: replaces camcorder. Motion video capture capability (e.g. AVI files, etc.) partially eliminates the need for a camcorder. Striping/RAID on multiple SCSI disks (supported in the Linux operating system) around a belt or the like will soon facilitate digital video with full-motion, full-color, at maximum resolution.
- pencigraphic image compositing: replaces 35mm still camera. By seamlessly "stitching together" multiple pictures of the same scene, the current system is capable of producing superresolution image composites in excess of 4000 pixels across. This resolution typically exceeds that attainable by a 35mm film camera. Since images may be transmitted wirelessly to friends and relatives (or others on the World Wide Web), when on vacation, there is no need to send traditional photo postcards or the like.
- mathematical computation: replaces pocket calculator. Xcalc, bc, Matlab, and Mathematica eliminate the need to carry a separate calculator or pocket computer.
- measurement: replaces pocket volt, ohm, milliammeter, heart-rate monitor, etc. An 8 channel analog to digital converter provides voltage measurement (and measurement of other quantities with appropriate sensors, adapters etc.). This provides additional capability such as logging of heart rate (and full ECG waveform if desired), respiration, and the like. Quantities may be displayed numerically (like a digital voltmeter), in bargraph form (like a moving-coil voltmeter), or graphically (like an oscilloscope), eliminating the need to carry these 3 types of instruments. Automatic alert/program control of other functionality is also possible.

Obviously these and other computational tools also provide not only far greater capability and integration of capability,

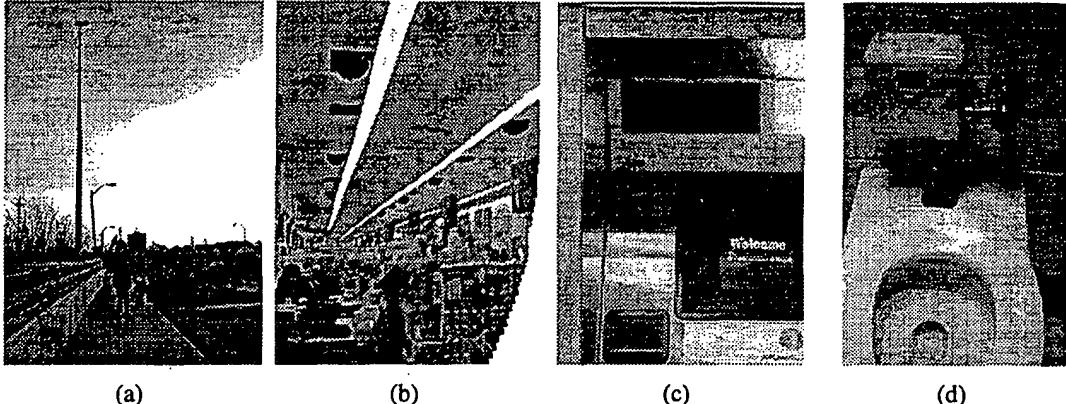


Figure 1: Examples of “smart spaces”. (a) “Intelligent highways” and ubiquitous surveillance. Systems like this are used for both traffic monitoring, and “public safety”. In Baltimore, for example, the government is installing approximately 200 cameras throughout the city to keep watch over the general activities of its citizenry. (b) Smart ceilings (fifteen ceiling domes or dark windows visible here) monitor people in the space, purportedly for their benefit. Sophisticated machine vision algorithms are often used to track shoppers’ activities [7] and make inferences about possible suspicious behaviour. (c) Machines with dark windows monitor users’ activities, purportedly for the users’ own protection, although organizations are often secretive about the exact nature of these systems (hence the use of very dark glass to hide the apparatus behind it). (d) ‘Smart toilets’, with dark windows, provide an awareness of the user’s state to a miniature computer system or the like contained inside the box with the window, to assist the user in flushing the toilet.

but they also provide totally new functionality.

HISTORICAL BACKGROUND

The proposed ‘smart clothing’ was not the world’s first wearable computer with wireless communications. Thomas Bass, in his book “The Eudaemonic Pie”, describes shoe-based computers of the 1970s that were designed and built by physicists and other researchers in California, for the purpose of assisting them at playing roulette. It was remarkable that they were able to design these computer systems to be so unobtrusive as to pass the ultimate test of unobtrusiveness — the “casino test” — surviving the scrutiny of the croupiers and pit bosses. It is also truly ironic that these computers were being used — privately — in a place where privacy would otherwise be unthinkable (under the ‘eye in the sky’, the partially-silvered ceiling mirrors and the ubiquitous ceiling domes of wine-dark opacity that are pervasive throughout most casinos).

The author’s suggestion of a community of nomads wearing wirelessly connected multimedia systems is not entirely new either. For many years, ham radio hobbyists have used voice communications on their hand-held battery-operated radios — often wearing them with headsets and hands-free boom microphones, sometimes even together with “antenna hats” quite similar to the author’s — to stay in touch with each other as part of their day-to-day lifestyle, in all facets of their lives. Some even sent and received television pictures, using battery operated tetherless equipment. The ham radio community, perhaps the predecessor of the internet newsgroups and the like, is also perhaps the predecessor of online wearable wireless multimedia living.

Ivan Sutherland, a pioneer in computer graphics, described a head-mounted display with half-silvered mirrors so that the wearer could see a virtual world superimposed on reality [8] [9]. Sutherland’s work, as well as more recent related work [10][11][12][13][14] is characterized by its tethered nature. The wearer is tethered to a workstation which is generally powered from an AC outlet — in this sense it differs

from the ‘smart clothing’ which is entirely battery operated and tetherless.

OTHER RELATED WORK Other recent work in *wearable computing*[15] provides a task-specific system, in particular, a repair manual for use by soldiers. To make use simple, and to keep the soldier focused on the task at hand, the only input is a knob and pushbutton, so that menu items from a specific program may be selected.

‘Smart clothing’ differs from employer-owned technology, or technology controlled by an external entity (the most extreme case being devices used to track criminals[16]). In particular, it is owned, operated, and controlled by the wearer.

It is primarily intended for day-to-day living within the surrounding social fabric of the individual[17].

‘PERSONAL IMAGING’ THEORETICAL BACKGROUND

The theoretical background for personal imaging is based on regarding the camera as a measurement instrument, in particular, an array of directional lightmeters. This theory is described in detail in[18][19][20]. Some of the basic ideas behind the theory are summarized here, in particular, those pertaining to automatic generation of image composites from multiple pictures of the same scene.

PENCIGRAPHIC IMAGE COMPOSITING Consider, for simplicity, a 1-D ‘camera’ in a 2-D static world. It is desired to know how two pictures, taken from the same location in space (but with different orientations, and possibly a change in focal length if the camera has *zoom lens* capability), are related to one another. The situation is depicted in Fig 3(a), where, for simplicity of visualization, the moving camera is depicted as two separate cameras drawn together on the same figure. From the figure, the coordinate transformation from x_1 to x_2 is given by

$$\begin{aligned} x_2 &= z_2 \tan(\arctan(x_1/z_1) - \theta), \quad \forall x_1 \neq o_1 \\ &= (ax_1 + b)/(cx_1 + 1), \quad \forall x_1 \neq o_1 \end{aligned} \quad (1)$$

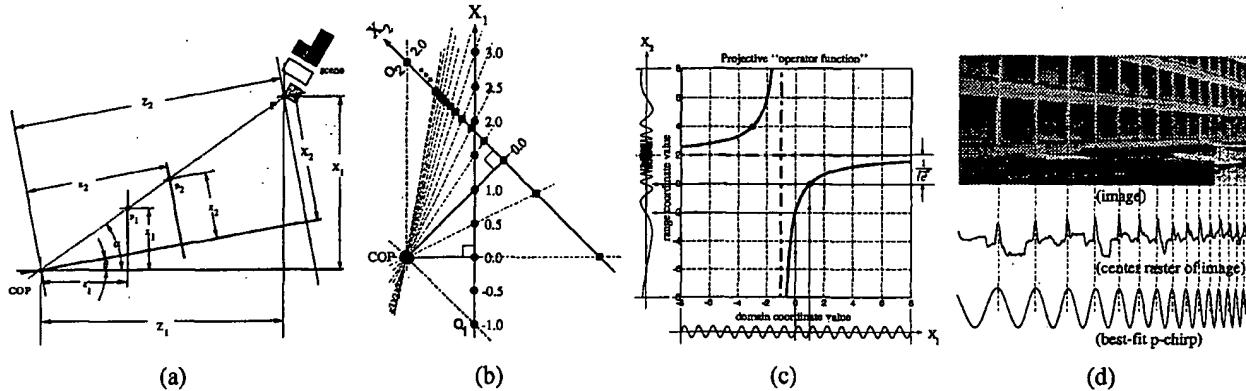


Figure 3: Pencigraphic imaging: (a) Two pictures of a static scene, taken from a common location, are related by a projectivity about the center of projection (COP). In particular, both images contribute to the same pencil of lines passing through the COP. The coordinate transformation from one image to the other is given by considering the mapping between domain x_1 and range x_2 . (b) This form of coordinate transformation gives rise to the ‘projective chirping effect’[21], where uniformly spaced points in the domain (depicted as circles) map to a “chirping” lattice in the range (depicted by squares). In this example, the vanishing point (point of zero spatial frequency) is located at $x_2 = 2$, while the ‘exploding point’ (point of infinite spatial frequency) is given by the range of $x_1 = -1$. (c) The plot of range coordinate as a function of the domain coordinate is in the form of a rectangular hyperbola, but shifted by the ‘exploding point’, $o_1 = -1$ in the domain and the vanishing point, $o_2 = 2$ in the range. A sinusoid is depicted in the domain together with the chirp function that it maps to in the range. (d) The ‘projective chirping phenomenon’ depicted on the face of a building having uniformly spaced windows. The best-fit chirp function captures this ‘periodicity-in-perspective’.

where $a = z_2/z_1$, $b = -z_2 \tan(\theta)$, $c = \tan(\theta)/z_1$, and $o_1 = z_1 \tan(\pi/2 + \theta) = -1/c$, is the location of the singularity in the domain.

Given a set of images that lie in the same orbit of the group, we wish to find for each image pair, that operator in the group which takes one image to the other image.

If two frames, say, f_1 and f_2 , are in the same orbit, then there is an group operation p such that the mean-squared error (MSE) between f_1 and $f'_2 = p \circ f_2$ is zero. In practice, however, we find which element of the group takes one image “nearest” the other, for there will be a certain amount of parallax, noise, interpolation error, edge effects, changes in lighting, depth of field, etc.

A fully automatic featureless parameter estimator for estimating the parameters of the true projective group of coordinate transformations (e.g. the parameters in (1)) has been proposed[18]. In particular:

$$\begin{bmatrix} \sum x^4 E_x^2 & \sum x^3 E_x^2 & \sum x^2 E_x^2 \\ \sum x^3 E_x^2 & \sum x^2 E_x^2 & \sum x E_x^2 \\ \sum x^2 E_x^2 & \sum x E_x^2 & \sum E_x^2 \end{bmatrix} \begin{bmatrix} q_2 \\ q_1 \\ q_0 \end{bmatrix} = - \begin{bmatrix} \sum x^2 E_x E_t \\ \sum x E_x E_t \\ \sum E_x E_t \end{bmatrix} \quad (2)$$

where $q_2 = (bc - a)c$, $q_1 = a - bc - 1$, and $q_0 = b$, E_x denotes the spatial derivative of the ‘reference image’, and E_t the temporal derivative (between the two images).

Images that are in approximately the same orbit of the projective group of coordinate transformations often arise from a quick movement of the head when wearing the apparatus described previously. An example of a ‘pencigraphic image composite’ captured using WearCam, in which 117 images are seamlessly joined together into one larger image is shown in Fig 4. Other examples appear in <http://wearcam.org/pencigraphy/gallery.html>

The ‘pencigraphic image representation’ (regarding the camera as a collection of light-measuring instruments), together with the WearCam apparatus, forms the basis of ‘personal

imaging’.

HISTORICAL BACKGROUND Efforts to combine multiple images of the same scene have been around for many years. Photogrammeters have combined images manually, in non-overlapping sections¹. *Photogrammetry* is a well-developed field of study[22]. Artists, most notably, David Hockney[23], often assemble multiple pictures of the same scene by hand, using the medium expressively.

Research in combining multiple pictures electronically has previously relied on an pure-translational model[24] of the form $x_2 = x_1 + b$ or an *affine* model[25] of the form $x_2 = ax_1 + b$. However, these models fail to capture the ‘chirping’ effect (described in the previous section) which is clearly quite pronounced in almost any practical imaging situation. With feature correspondences, the projective coordinate transformation may be determined but it was not until 1993 that a fully automatic featureless method of doing so appeared in the literature[18].

Other related work, such as Apple’s Quick Time VR (QTVR) requires a special apparatus, comprising a tripod with a precisely calibrated rotating camera stage, so that camera movements are known. Such an approach is unsuitable for the freeform ‘painting with looks’ approach to ‘personal imaging’.

EXPERIMENTAL APPARATUS

Only a brief description of the apparatus is provided here; more detailed information can be found elsewhere in the literature[26].

The author’s current apparatus, with miniature camera and miniature (one inch diag.) 24-bit color screen in the eyeglasses, and miniaturized Pentium 90 system (64M RAM,

¹Images combined in non-overlapping sections (typically by mechanical means) are said to give rise to an *image mosaic*, whereas images that are combined together with overlap, feathering, or the like, typically by electronic means, are said to give rise to an *image composite*

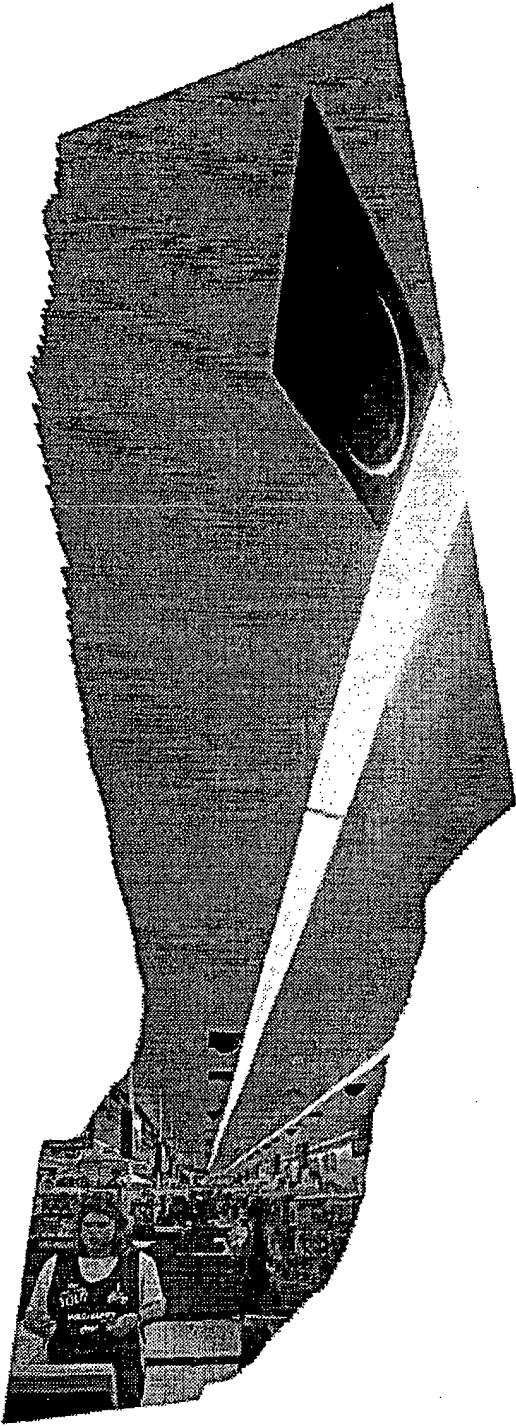


Figure 4: A 'pencigraphic image composite' created using the 'personal imaging' apparatus described in the previous section. As the author faced the cashier, and looked up toward the ceiling, 117 somewhat low-resolution NTSC images were captured. The processed image, showing the author's gaze pattern, is in some sense perhaps more like a painting than a photograph — the image contents are "painted" onto an empty image canvas merely by looking. Furthermore, even though the image was captured from a standard NTSC video camera, (giving 240-line images after deinterlacing), the resulting image is much larger (3730 pixels high) than any of the input images. Note the creative use of the distortionless (*recilinear*) but extremely wide-angle perspective — the ceiling dome looming almost directly overhead is put near the 'exploding point' for maximum resolution enhancement.

1.2G hard drive, etc) in the waist bag is still a somewhat cumbersome prototype. Clearly it is experimental in nature, with the knowledge that trends in miniaturization, and new technologies like conductive threads, conformal antennas, etc., will soon make this apparatus blend into ordinary clothing.

The multimedia computer is connected to the internet over TCP/IP, using one or more forms of wireless communication. In particular, these include a 1987 WA4DSY system which provides a data rate of 56kbps, together with a G3RUH system with variable data rate, and an older dual band radio. The older system is essentially a backup system — higher output power together with a low data rate of 1200bps give it a robustness that keeps the author online, for example, in the sub-basements of buildings where the other systems do not work that well.

Antennas are currently mounted in a hat which is lined with copper mesh, (the 1980 rig made use of a distributed antenna network sewn into a jacket). Work is underway to make the antennas completely unobtrusive, as they were in the 1980 rig, but while maintaining the same high level of performance of the current rig, through the use of conformal antenna technology.

THE WEARCAM PERSPECTIVE 'WearCam' is an Internet-connected multimedia computer with its own Internet address, but the items are attached in a natural fashion, so that they can be used, at times, without (much) conscious effort, and while performing other activities such as walking, shopping, or riding a bicycle.

The image from the camera(s) is presented on the display in a way that is natural and intuitive. A camera and microphone are attached to the display in such a way as to give a *first person* vantage — the camera "sees" exactly what the wearer sees, rather than the *second person* perspective of traditional multimedia applications where the camera "sees" a picture of the user.

OTHER SENSORS The apparatus includes a ProComp 8 channel analog to digital converter which facilitates measurement of voltages and such. In particular, the author's 'smart shoes' (shoes with an array of sensors that provide information about footstep force and velocity, etc.) and 'smart undergarments' that sense, for example, heart rate, respiration, and skin resistance, are connected to this analog to digital converter, providing the capability to log the information into a file, or to access it online.

Other sensors such as infrared and radar, enhance and extend the author's sensory capabilities, and have been used for various experiments in synthetic synesthesia, which might someday be of assistance to the visually challenged[27].

The author's apparatus is somewhat reminiscent of the "Winnebiko/Behemoth" work of Steve Roberts, N4RVE[28], except that it is built into clothing rather than a bicycle.

'PERSONAL IMAGING' 'Personal imaging' is an important aspect of 'smart clothing', thus the camera and display are important parts of the apparatus. The current computer screen is actually quite similar to the display in the author's 1980 version (it is still a miniature CRT operating at the same 5 to 6 kV anode voltage), except that it provides high-quality color

imagery; the field rate is three times as high (180Hz instead of 60Hz), and a color filter is used to sequence through red, green, and blue. This provides full color fidelity with good shadow detail as well as good highlight detail (LCDs often provide only washed-out pastel colors). A spinning color filter wheel was replaced with an electronic color shutter to reduce physical size and increase reliability by eliminating moving parts.

EXPERIMENTS

The apparatus, a wearable multimedia computer system equipped camera(s), and wireless Internet connection [29], enabled experimentation with imaging applications in ordinary day-to-day situations, not just in a lab. Possible applications of this 'WearCam' to the handicapped have been suggested [27], in particular, its use as a personal visual assistant (PVA), and as a visual memory prosthetic has been suggested.

WearCam was originally designed and built as a 'visual memory prosthetic' to attain an improved awareness of visual experiences (e.g. to gain an enhanced awareness of light and shade), and to help overcome visual amnesia. Note that this goal is the opposite of that embodied in both virtual reality and personal sound systems (in the sense that they *reduce* awareness of actual reality).

WearCam embodies a *temporal visual filter* that provides computer-induced *flashbacks* (possibly together with annotation). This functionality has made it possible to experiment with practical applications such as providing assistance in such visual tasks as remembering faces.

EXPERIMENTS IN NETWORKED ONLINE COMMUNITIES

Internet connectivity is due to antennas the author has erected on rooftops of various buildings. In particular, one of these is coordinated through the New England Spectrum Management Council to operate as an "open" gateway (available for use by the general ham radio community), and is listed as being an open gateway.

Furthermore, with the current (or soon-to-be) availability of commercial systems such as Metricom, Wavelan, and Motorola, getting more 'smart clothing' online will soon be trivial.

Experiments with two WearCam users have allowed an exchange of viewpoint, so that each person sees through the other person's eyes[30].

Instead of just two people, suppose we have a community (network) of individuals wearing the apparatus. This could be a homogeneous community (all wearing the same form of the apparatus), but for simplicity of implementation, consider a heterogeneous community (Fig 5) wearing various prototypes of the apparatus. These prototypes are widely different in their design but provide similar functionality with ability to communicate with one another.

EXPERIMENTS WITH THE 'VISUAL MEMORY PROSTHETIC'

WearCam presents the visual world to the wearer on a computer screen, so that the visual experience can be augmented, diminished, or otherwise altered, under program control if desired. This facilitates experiments on visual perception in which the experience of the visual world must pass through a 'visual filter'[30]. The 'visual memory prosthetic' is based



Figure 5: The 'safety net': a network of individuals, three with WearCams: author at left with 1990 CRT-based WearCam; fourth and fifth (cellular hat) from left wearing LCD-based WearCams more recently designed and built by the author but lacking the dynamic range and color fidelity of the older CRT-based WearCam. Even this newer display technology is somewhat obtrusive. The displays are the most obtrusive parts of these systems (cameras, microphones, computers, and input devices of the three wearcams are almost completely invisible). It will take some time before a high-quality unobtrusive display becomes available.

primarily on a 'visual filter' that doesn't (assuming proper calibration) bend any rays of light passing through it, but only delays these light rays. Such a temporal-only 'visual filter' might, for example, function like a pair of eyeglasses made of hypothetical *slowglass*[31] and merely delay the lightfield. It might also produce a stroboscopic or freeze-frame effect, as well as more sophisticated temporal visual filters such as for the face-recognition/reminder experiments to be described later.

RESULTS AND DISCUSSION

EDGERTONIAN EYES Early experiments with a variety of different 'visual filters', involved experimenting with the WearCam apparatus in day-to-day activities[30]. Each of these filters provided a different visual reality. For one such filter, the author experimented by applying a repeating freeze-frame effect to WearCam. With this video *sample and hold*, it was found that nearly periodic patterns would appear to freeze at certain speeds. For example, while looking out the window of a car, periodic railings that were a complete blur without the apparatus were found to appear in sharp focus with the apparatus, creating a heightened sense of awareness of subtle differences between each period of a periodic structure — an awareness that exceeded even that attained standing still examining the structure.

Rotational periodicity (such as in the blades of a spinning airplane propeller) would appear as objects rotating slowly backwards or forwards, in much the same way as objects do under the stroboscopic lights of Harold Edgerton[32].

FLASHBACKS AND FREEZE-FRAMES Of greater interest than just being able to see that which is invisible to the naked eye, was the fact that sometimes the effect would cause certain things to be remembered much better. In particular, it was found that faces would be remembered much better with this *freeze-frame* effect.

DEJA VU A free-running visual memory prosthetic was found to be helpful in way-finding. With the ability to compare past and present imagery, it became quite evident when one had been in a particular place before (e.g. if one were

going around in “circles”). When this comparison is done automatically, the computer attempts to compare all previous images with the current image (from what the camera is currently pointed at). With some form of visual processing, WearCam can also function as the visual equivalent of Starner’s rememberance agent[33] (a text interface that constantly watches what the user types and automatically reminds the user of related text files).

‘VISUAL CLEW’ The ‘visual clew²’ is a computer-assisted way-finding system that runs on WearCam.

We’ve all no doubt been lost at one time or another. One might enter a shopping complex, or the like, and be unable to find the way back to the car or subway stop at the end of the day.

It was found that by building up a stack of images, either consciuosly deciding to capture an image at each branch point, or putting the apparatus in a free-running mode, the problem of getting lost was solved, at least to the extent that one could find one’s way back to where one started from, whenever desired.

The current implementation of ‘visual clew’ uses the Wearable Wireless Webcam: each image is appended to the web page, and then, when it is time to return (e.g. to find my way back to the parking lot) the Web browser (Mosaic) is invoked, to browse through pages of previously captured images. Alternate implementations have been built using a local image stack but the Web stack allowed for shared “visual memory”, so that, for example, two people could use such an apparatus to find each other, should their paths happen to have crossed at some point.

A method of determining if images are in the same orbit of the projective group of coordinate transformations has been described[34][20]. This ‘video orbits’ approach, together with other image recognition systems, can be used to automate the way-finding ‘visual clew’ system. In particular, if one applies the following use strategy, it is very easy for even a small battery-powered computer with only moderate processing capability to recognize a previous image:

1. Walk down the center of every corridor whenever possible.
2. Capture an image at each branch point, and, if desired, capture additional images along the corridor whenever it is possible to stand in the center of the corridor (e.g. if there are obstructions, wait until it becomes possible to be recentered).
3. When possible, capture images that have the fewest number of people in the scene.

Thus when the same path is encountered again, if the frame rate (rate at which images can be captured and compared to previous orbits) is sufficient, images will lie in the same orbit of the projective group of coordinate transformations, and be automatically identified as such.

Alternatively, instead of capturing a single image at each branch point, a partial environment map may be captured at

²The “clew” metaphor is derived from Greek mythology, in which Theseus used a clew (ball of thread) to retrace his path back out of the “labyrinth of perversion”.

each branch point (stop for a couple of seconds, and ‘paint with looks’ — making a quick glance around to generate an image composite). Then if the same location is encountered, the two collections of input images will be in the same orbit of the projective group of coordinate transformations.

ANNOTATED ‘FLASHBACKS’: WEARABLE FACE-RECOGNIZER

Many people (author included) have difficulty remembering faces. The ‘visual memory prosthetic’ was found to assist in the task of remembering faces, through the use of computer-induced flashbacks. In addition to helping the human remember, and therefore recognize, faces, computers are also capable of directly recognizing faces. Previous work by others is based on using a fixed, tethered camera [35][36][37][38]. The kinds of applications for this work might include video surveillance with a fixed camera and people moving through its field of view. The FBI-funded FERET project comprises a large database (more than 7000 faces) that can be searched quickly on a workstation-class system.

Automatic face recognition has raised extensive privacy concerns[39]: “Privacy International is calling on the UK government to prohibit . . . Computerised Face Recognition (CFR) systems that have the capacity to automatically compare faces captured on CCTV, with a database of facial images. Several police and commercial organisations are developing this technology. . . should . . . re-establish some democratic mechanism in the development of wide-scale urban CCTV systems . . . grave risk that the CCTV industry is out of control. . . quashing public debate. . . the systems have challenged some fundamental tenets of justice, and created the threat of a surveillance society. Other more traditional approaches to law enforcement and social justice are being undermined. . . ”

However, computational resources, attached to a person, suggest the possibility of turning the tables on the traditional third-person perspective (such as a ceiling-mounted surveillance camera), and, instead, using face recognition from a person-worn perspective. In particular, if face recognition is used ubiquitously by ordinary individuals as part of their day-to-day living, it will give rise to a more democratic society in which policemen, as well as ordinary citizens (and shopkeepers as well as shoppers, bank tellers as well as bankers) will be recognizable and accountable for their actions.

A variety of face-recognizer implementations are possible with the current WearCam apparatus. These range from storing the database of candidate faces on the body-worn apparatus and using local processing, to connecting remotely from the apparatus to the database, possibly using remote processing.

Several different implementations of the capture/display configuration (e.g. having both the camera and display rotated 90 degrees, having the camera rotated 90 degrees with the display still in *landscape* orientation, etc) were tried. It was found that the best overall configuration was to have the camera rotated 90 degrees (*portrait*) but with the display still in *landscape* orientation. Anecdotes on the author’s experiences “living in a rot90 world” (reminiscent of George Stratton’s upside-down glasses[40]) appear in[30].

Improvements to the ‘wearable face recognizer’ included providing means of alignment, using a *registration template* (Fig 6) displayed on top of the video to facilitate manual

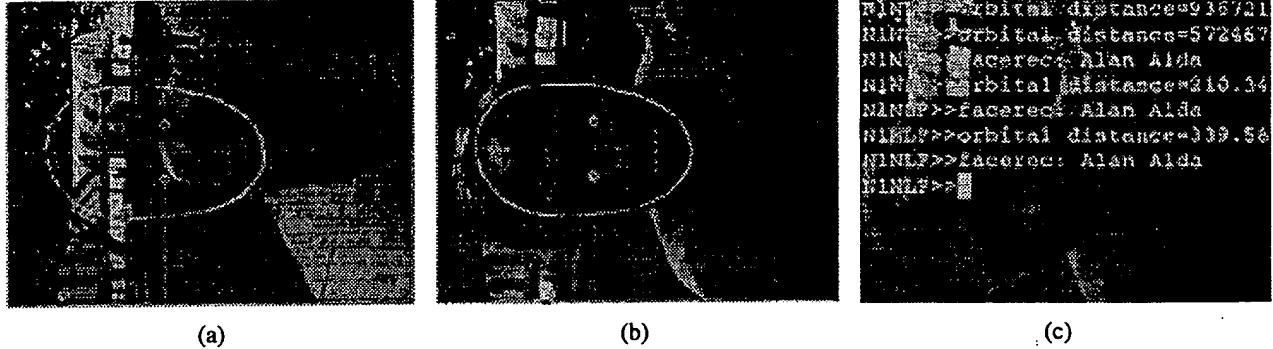


Figure 6: Template-based wearable face-recognizer (a) As candidate approaches, an effort is made to orient the apparatus (by turning of the head) so that the candidate is centered. This is easy because the full-motion color video input stream appears on the computer screen together with the template. (b) At some point, the distance to the candidate will be such that the scale (size of the face on the image plane) will be appropriate, and, while still keeping the orientation appropriate, the match is made. (c) After the match is made, the template image drops away, revealing a radioteletype (RTTY) window behind it, upon which is displayed the desired information (for example, the name of the candidate, "Alan Alda", and possibly additional parameters or other relevant information).

alignment of the face, through rotating the head to aim the camera appropriately. (The cursors themselves were implemented as a JPEG image.) Further implementational details of the wearable face recognizer and visual memory prosthetic are available in [27] and [30].

VIDEO ORBITS/PERSONAL IMAGING' When shopping, friends and relatives can remotely look at whatever one is looking at (the author has been surprised from time to time, for example, with unexpected email about the fruits and vegetables in the WearCam's view, or a reminder to pick up some milk, which was especially surprising when, for example, a remote visitor happened upon a portion of the environment map that was captured by accident).

In more recent years, with the advent of the World Wide Web, a new form of connectivity has been explored using the 'Wearable Wireless Webcam' (<http://wearcam.org>). The application of WearCam has been an exploration of a new form of personal visual connectivity. As a tool for visual artists (in some sense the pencigraphic image composites are an expressive art form), such a device can reduce the time from first seeing something of visual interest, to showing an image in a gallery (completed exhibition), down to a fraction of a second.

ILLUSORY RIGID PLANAR PATCH Examples of illusory rigid planar patches arise directly from projective coordinate transformations applied to individual images. In particular, the wearcam apparatus displays both video (imagery) and text on the NTSC-svideo screen. Typically a large font (e.g. such that there are on the order of 30 characters across) is used so that the text will be very easy to read even when one is jogging or the eyeglasses are being jostled around. An example of a text window superimposed on an image composite appears in Fig 7.

Face recognition may be used together with 'pencigraphic imaging'. In particular, the group of projective coordinate transformations may be used to sustain the illusion of a rigid planar patch. Thus once the face is recognized by the computer, a virtual name tag is created using the illusory rigid planar patch. Then when the wearer looks to the right, for example, causing the video imagery to move to the left across the image sensor (and viewer's screen), the text moves to the

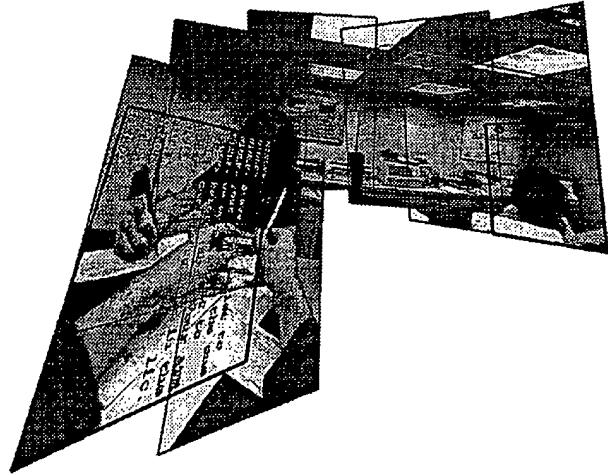


Figure 7: Here the author is conducting banking business, and a problem has been encountered with the author's bank card. A radioteletype (RTTY) session appears as a rectangular text window on the sixth image in a seven-image pencigraphic composite, creating the illusion of a rigid planar patch. Text is sideways because the author had adapted to seeing images rotated 90 degrees — text appeared normal (unrotated) to author. The second window on the right denotes the viewpoint of another person, remotely (the author's spouse). This viewpoint, corresponds to the lower right hand quadrant of the first image in the sequence. Although the author's gaze is currently fixed on the paperwork in front of him, the remote viewer is navigating the environment map independent of where the author is looking. In particular, the remote viewer recognizes the face of the teller serving the customer to the author's right, and sends a message into the author's RTTY window, upon his current point of gaze. Note also the 3 video surveillance cameras visible at the top of the image composite. This would suggest that both the author and the bank have a visual record of the transaction. In the future, just as both parties of such a transaction keep signed copies of all documents, so too will both parties keep 'visual memories' of the transaction, giving rise to *balance* between wearable technology and environmental technology.



Figure 8: Six frames from an image sequence in which author looked from left to right. The illusory rigid planar patch is created by featureless tracking of the video orbit of the projective group of coordinate transformations. Notice that in frame six, even though the face is no longer in view of the apparatus, it continues to be 'tracked'. What is actually being tracked is the entire orbit (the projective coordinate transformation arising from other objects in the room). Here the illusory rigid planar patch is a list of grocery items previously purchased by the author from this same cashier. Such an apparatus may be useful when returning items to a department store, as it assists one's memory of what was purchased, when, and from whom. A clear memory of details often helps in avoiding disputes regarding terms of the sale.

left to follow it. But not only does the text move to the left, but it also "keystones" and 'rechirps' itself to maintain the illusion of a rigid planar patch in 3-D space (Fig 8).

ACTIVE BADGES AND PERSON-TRACKING Card keys and active badges both represent technologies that either keep track of where individual people are located, or comprise hardware that has the *potential* to do so.

MIT has attempted, somewhat unsuccessfully, on two different occasions, to deploy a card-reader system for access control to various buildings. In both cases, students have strongly opposed the initiative. In particular, there was widespread concern regarding the privacy implications of such a system.

TURNING THE SYSTEM INSIDE-OUT Both the card key and active badge systems rely on a "smart" element built into the architecture (card reader or IR receiver) and a "dumb" element (card or beacon) carried or worn by the user. The "smart" element is networked to a central computer system, while the "dumb" element has no communications or networking capability whatsoever.

Suppose, however, that we swap the two. Suppose that the user carries or wears the "smart" element, and the building architecture is endowed with the "dumb" element. Thus, for example, the user might wear the infra red (IR) receiver, and have this connected to his/her 'smart clothing', while numerous beacons would be distributed throughout the building. This means that there is no need to network the beacons, no need to wire the building. The system relies on the communications infrastructure each user wears.

However, now the location of the user is known to the user's clothing, and thus the user has control over who can and cannot know his/her location. A user might, for example, define an access control list comprising faculty advisor, thesis advisor, colleagues, etc.. The user's clothing would automatically encrypt the user's location (as determined by the last beacon "seen" by the user's clothing) and transmit this information to the desired recipients. Any interception of this communications would be unintelligible to those not on the access control list.

In a prototype system, the author deployed a number of "room tags" — name tags fixed at known locations in the building (Fig 9(a)), and fixed an IR receiver to his glasses (Fig 9(b)), and connected this to the clothing-based computer.

In addition to giving the user control over his/her personal whereabouts, the system may also be used to provide location-dependent computer-induced flashbacks (Fig 9), adding new dimensions to the visual memory prosthetic. For example, entering my office, I was surprised to find that the lost sweater I had been looking for that day had been sitting on my desk only a short while ago. Thus I 'remembered' that I had worn it that day, and that therefore I must have lost it somewhere nearby.

ACHIEVING BALANCE: COOPERATION BETWEEN SMART SPACES AND SMART CLOTHING The proliferation of ever more intrusive environmental technology has created an imbalance between individuals and their environment. This section presents some examples of how wearable technology might work cooperatively with the environmental technology. An example of environmental control (HVAC) using smart underwear is presented.

SMART UNDERWEAR AND BIOSENSORS Even when we take off our 'smart clothing' at night, we might still choose to keep on our 'smart underwear' which controls the heater or air conditioner in the room. Upon arriving home, late at night, one is generally too hot from just climbing the stairs, etc., so when first going to sleep, the underwear tells the heater to turn off, but after a couple of hours sleeping, when one's metabolism slows down, the underwear senses the resulting changes in one's body temperature/conductivity, and turns up the heat. Our clothing of the future may some day be interoperable and interconnected, so that it keeps track of our physical condition and allows us to decrypt this information for evaluation by a doctor or other professional of our choosing. Further description of the 'smart underwear' prototype, and anecdotes on the author's experience designing and using it appears in[41].

The natural place for our medical records is right in our clothing. Having a patient wear his or her entire medical history would solve much of the medical records privacy problems we face today. With various biosensors, the most current and up-to-date information would be readily available within the very clothing that's taking the measurements³. This approach would eliminate the need for, and the possible abuses that can arise with, a central database of medical records, and would eliminate the need to for a person to venture through bureaucratic procedures to access his or her own medical information. It would also eliminate the problems associated with smart cards, as clothing is almost always worn, while cards may be misplaced and inaccessible in times of emergency care. Epidemiological research would still be possible being done with the patient's data — participating patients could make the data accessible to organizations doing the research, but this would be done through a query to each participating patient's online 'smart clothing' each time the data were needed, so that the patient's clothing would be kept "in the loop", that is, access logs would be automatically generated in the 'smart clothing', so that patients could trace the history/usage of their data at a later date if desired.

³Of course, one would want to have one's medical records replicated (backed up) in the clothing of selected friends and relatives, to prevent data loss in the event of clothing failure.

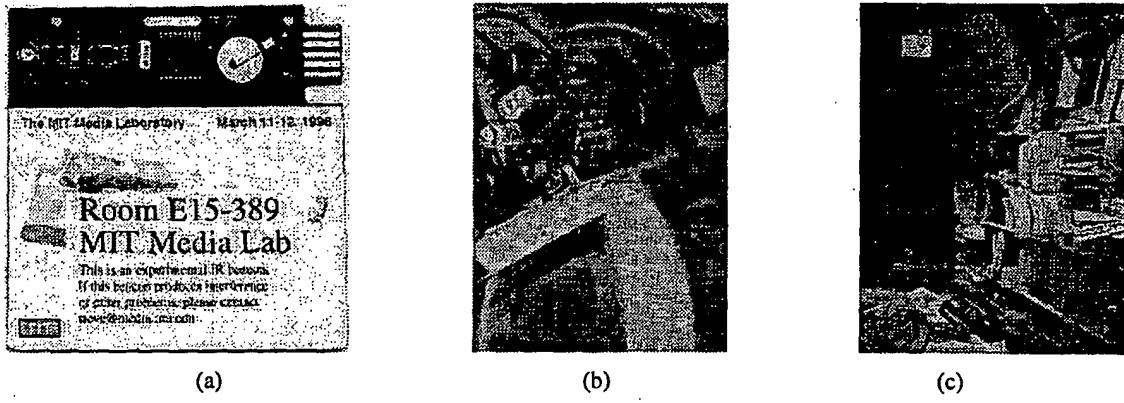


Figure 9: A paradigm reversal for person-tracking (a) 'Room tag' (one of many name tags that may be easily deployed throughout the building). (b) Paradigm reversal: tags and receivers swap places. Receiver is attached to author's eyeglasses and plugged into 'smart clothing'. (c) Computer-induced flashback triggered by revisiting same room as before. Note name tag is visible in upper left of picture. Induced 'memory' of sweater, sitting on desk in lower left corner of picture, proved to be helpful.

CRIMEWATCH AND 'SAFETY NET' In a networked wearable multimedia community, people might pay attention mostly to their immediate surroundings, but may, at times, get an image from someone who thinks there might be danger. This fear of danger might be triggered by a 'maybe I'm in distress' button pressed by the wearer, or automatically. Automated distress may be facilitated through a heart rate monitor and an activity meter/pedometer, such as the sensors in the author's shoes. The heart rate divided by the footstep activity gives a 'visual saliency' index. Should someone pull out a gun and demand cash from the wearer, the 'smart clothing' might respond appropriately (video capture/transmission at maximal frame rate, etc.) by virtue of the sudden increase in heart rate for no apparent reason (e.g. without any increase in physical exertion). As a personal safety device, ubiquitous use of 'smart clothing' might have the potential to turn the world into a small-town community — a global village as barriers of time and space fall. A community of individuals networked in this way would look out for each others' safety in the form of a 'neighbourhood watch'. This 'safety net' could be used for a 'virtual safewalk': a participant, about to walk home or enter an underground parking garage late at night, sees 'eye-to-eye' with one or more people (perhaps in a different time zone, say somewhere in the world where it is morning, so the virtual escort has fresh alert eyes). Neil Stephenson's *Global Neighborhood Watch*[42] comes to mind, but now with free-roaming tetherless connectivity.

This level of connectivity raises many new social and ethical questions that will need to be addressed as we further experiment with more WearCams.

SMART CLOTHING v. SMART UNIFORMS 'Smart clothing' represents a significant future direction for computing. The recent proliferation of wearable computers (there are about 5 companies making wearable computers now) suggests that we're moving in that direction. However, many of the applications of wearable computers so-far envisioned, such as the land warrior (military), the intelligent maintenance aid, or various applications in the workplace[15] might better be described as 'smart uniforms'. A 'smart uniform' is issued to a soldier or employee at the start of a job, and then

taken away after the job is completed.

There is a fundamental difference in the way that people feel about their own clothing as compared to a uniform. Although people can become quite familiar with their uniforms, whether worn in prison, the military, certain workplaces, or old-fashioned schools, the individuality of personal clothing, and the pleasures associated with its selection and wearing should be extended to computing. The full power and enjoyment of this synergy between human and machine will be realized only when the computer is owned, operated, and controlled by the wearer, giving rise to truly personal computing. Indeed, examples of wearable technology at the extreme opposite to the personal wearable, are the wearable ID transponders that have been rejected by many employees, and the devices attached to criminals to keep track of them[16]. These devices are owned, operated, and controlled by a remote entity. Some such devices even have the capability to provide the wearer with an "electrical corrective signal" (euphemism for electric shock) when the wearer does something against the will of the entity that controls the system (e.g. ventures outside a prescribed boundary). This prospect is at least as troublesome as the pole-top surveillance cameras discussed earlier.

ANECDOTAL DISCUSSIONS

ON THE "SAFETY VERSUS PRIVACY" ARGUMENT When I first joined the MIT Media Lab, I expressed concern regarding the possible development of surveillance technologies, such as ubiquitous use of video cameras, face recognition and the like. My advisor, trying to relieve my concerns regarding a possible Big-Brother future, presented me with the argument of her advisor (Sandy Pentland) who was the director of the research on face recognition: "Cameras make the world a smaller place, kind of like a small town. You give up privacy in exchange for safety. In a small town, if you were suffering from a heart attack and collapsed on the floor of your kitchen, chances are better that someone would come to your rescue. Perhaps a neighbour would come over to borrow some sugar, and, since your door would be unlocked, would just come right in and see you had collapsed and come to your aid." Although this analogy makes perfect logical sense — on the *safety versus privacy* axis, the

small town of the past and future with ubiquitous surveillance are very similar — there was still something very disturbing about it.

If we look along a different dimension, characterized by symmetry, the small town and a future with ubiquitous surveillance are exact opposites. In a small town, the sheriff knows what everyone's up to, but everyone also knows what the sheriff is up to. This *symmetry* is generally not the case with regard to surveillance systems like the ones used in the UK, which, although often installed by governments, are operated as closed (*secret*) systems, the imagery being unavailable to ordinary citizens.

Phil Patton [5] discusses the surveillance dilemma, making reference to the ubiquitous "ceiling domes of wine-dark opacity", making mention that "many department stores use hidden cameras behind one-way mirrors in fitting rooms", and in general, that there is much more video surveillance than we might at first think.

With so much video surveillance in place, and growing at a tremendous rate, one wonders if privacy is a lost cause. If we are going to be under video surveillance, we may as well keep our own "memory" of the events around us, analogous to a contract in which both parties keep a signed copy. Falsification of video surveillance recordings is a point addressed in the movie *Rising Sun*, and in William Mitchell's book, *The Reconfigured Eye* [43]. However, if there is a chance that individuals might have their own account of what happened, organizations using surveillance would be much less likely to risk falsifying surveillance data. Even though it is easy to falsify images [43], when accounts of what happened differ, further investigation would be called for. Careful analysis (e.g. kinematic constraints on moving objects in the scene, the way shadows reflect in shiny surfaces, etc) of two or more differing accounts of what happened would likely uncover falsification that would otherwise remain unnoticed. The same technology that is used to demonstrate a person has removed an item from a department store without paying may be used by a person to demonstrate that he or she did, in fact, pay. One can only imagine what would have happened if the only video recording of the Rodney King beating were one that had been made by police, using a network of police surveillance cameras, such as the camera networks used in some cities in the UK[44]. Of course, most officials are honest, and would have no reason to be any more paranoid of an average citizen's camera operating together with their own network of cameras.

Once we accept that images can be easily falsified, we will someday soon (some have already) begin regarding images as having no more truth than verbal or written accounts. The difference between whether images themselves are presented to a jury from someone's WearCam, or a verbal description of incredible detail is provided by that same person through their looking into their own WearCam's memory, will matter less and less as technology evolves.

Privacy concerns regarding WearCam itself have also been raised. However, living in a society in which cameras were not permitted, unless they were worn on people, would be far preferable to today's society — then at least we'd know

we had privacy when we were alone — our instincts like "don't pick your nose in front of other people" would work in harmony with ubiquitous personal imaging.

CONCLUSIONS

'Smart clothing', a wearable multimedia computer and 'personal imaging' system been proposed. Its practical utility in day-to-day applications has been explored, in particular, through experiments in way-finding, face recognition, creation of partial environment maps and shared visual spaces, etc. A framework for 'personal imaging' has been set forth, in particular, through the use of a wearable camera system, regarding the camera as an array of directional lightmeters, and through consideration of 'video orbits' (orbits of the projective group of coordinate transformations acting on images).

Much like the cellular telephone, pager, pocket calculator, notebook computer, pocket organizer, wristwatch, etc., that it will subsume and replace, the most successful wearable technology will be owned, operated, and controlled by the wearer. Much like the the wearer's own clothing, this technology will arise out of the wearer's own choosing.

'Smart clothing' raises some interesting ethical and social issues, which we will need to face when the apparatus makes its way into widespread use. The boundaries between seeing and viewing, and between remembering and recording will crumble. When we purchase a new appliance, we may well 'memorize' the face behind the store counter. A week later, our spouse, taking the appliance back for a refund, could 'recall' the name and face of the clerk she never met.

In some sense 'smart clothing' turns the tables on video surveillance — the shopkeeper who illegally chains fire exits shut will risk getting caught on candid camera just as the shoplifter risks getting caught on the shop's surveillance cameras. Although miniature camcorders have brought to light incidents such as the Rodney King beating, they lack wireless communications to safeguard the image data from being seized or destroyed.

Because WearCam is tetherless, it has been possible to wear the apparatus for several years, in day-to-day interactions. Getting multimedia computing off the desk and onto the streets, shops, and into other establishments, has raised some interesting social issues.

'Smart Clothing' offers an alternative to centralized surveillance. It suggests a future in which people, through prostheses, might have both improved visual memory and improved ability to share it. But it also suggests a hope that the visual memory be distributed among people, and be less likely to be abused than if it exist in a centralized form, as is more common with a network of surveillance cameras, such as is commonly used on the streets in the UK. The proliferation of hidden cameras everywhere has the possibility to threaten our privacy, but suppose the only cameras were the prosthetic elements of other individuals. Then at least one would still have privacy when one was alone.

Furthermore, as images become easier and easier to edit[43], WearCam, like other cameras, will begin to be regarded as being more like a visual memory aid and an artist's tool than a source of evidence of fact.

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